

IFW 3761  
PATENT  
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Case Docket No. MVMDINC.001CP1  
Date: October 6, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : van der Burg, et al.  
Appl. No. : 09/435,562  
Filed : November 8, 1999  
For : METHOD AND DEVICE FOR  
LEFT ATRIAL APPENDAGE  
OCCLUSION  
Examiner : Glenn K. Dawson  
Group Art Unit : 3761

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 6, 2004

(Date)  
Sabin H. Lee, Reg. No. 43,745

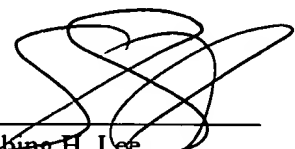
TRANSMITTAL LETTER

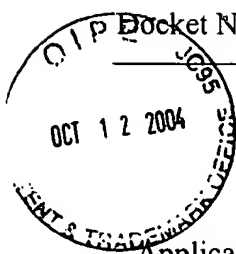
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 14 references. (3 enclosed)
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Sabin H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant : van der Burg, et al.  
App. No. : 09/435,562  
Filed : November 8, 1999  
For : METHOD AND DEVICE FOR LEFT  
ATRIAL APPENDAGE OCCLUSION  
Examiner : Glenn K. Dawson  
Group Art Unit : 3761

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 14 references. A CPA was filed in this case on July 2, 2003. Therefore, copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

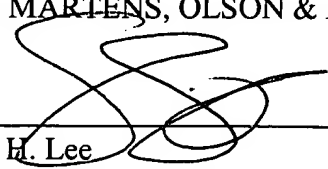
10/13/2004 FFANAI2.00000007.09435562

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Dated: 12-6-01

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:   
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Attorney of Record  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MVMDINC.001CP1APPLICATION NO.  
09/435,562INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
van der Burg, et al.FILING DATE  
November 8, 1999GROUP  
3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,071,407	12/10/91	Termin, et al.			
	2	5,350,398	9/27/94	Pavcnik, et al.			
	3	5,749,880	5/12/98	Banas, et al.			
	4	5,961,545	10/5/99	Lentz, et al.			
	5	6,007,557	12/28/99	Ambrisco, et al.			
	6	6,096,053	8/1/2000	Bates			
	7	6,231,561	5/15/01	Frazier, et al.			
	8	6,328,727	12/11/01	Frazier, et al.			
	9	6,419,669	7/16/02	Frazier, et al.			
	10	US 2002/0138094 A1	9/26/02	Borillo, et al.			
	11	US 2002/0138097A1	9/26/02	Ostrovsky, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	12	WO 95/22359	8/24/95	PCT				

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER INITIAL		
	13	International Search Report and Written Opinion from PCT/US2004/008109 with a mailing date of August, 3, 2004
	14	Specifications, Claims, and Figures from US Application No. 10/802,395 filed March 17, 2004, examiner presently unknown. (Atty reference MVMDINC.001CP4)
	15	
	16	
	17	

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	